

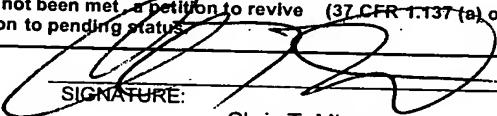
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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 09857/0202242-US0
INTERNATIONAL APPLICATION NO. PCT/JP2003/008166	INTERNATIONAL FILING DATE 27 June 2003	U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/519629
PRIORITY DATE CLAIMED 27 June 2002		
TITLE OF INVENTION FERROCENE COMPOUND AND USE THEREOF		
APPLICANT(S) FOR DO/EO/US Masaki Ishigai et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2))</p> <p>a. <input checked="" type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the Inventor(s) (35 U.S.C. 371 (c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</p>		
Items 11 to 20 below concern document(s) or information included:		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98; PTO/SB/08A; ISR with 10 documents.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input checked="" type="checkbox"/> A substitute specification; Mark-up copy of substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Certificate of Express Mailing Under 37 CFR 1.10; Check in the amount of \$1,440.00; Affirmation of priority claim w/PCT/IB/304; Return Receipt Postcard; Copy of WO 2004/002996 A1; Copy of PCT Request</p>		

PTO-1390 (Rev. 12-2004)

Approved for use through 03/31/2007. OMB 0651-0021
U. S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

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U.S. APPLICATION NO. (if known, see 37 CFR 1.57) 107519629		INTERNATIONAL APPLICATION NO. PCT/JP2003/008166		ATTORNEY'S DOCKET NUMBER 09857/0202242-US0																															
21. The following fees are submitted:																																			
<table border="1"> <tr> <td><input checked="" type="checkbox"/></td> <td>a) Basic national fee</td> <td>\$300.00</td> <td>\$</td> <td>300.00</td> <td></td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td>b) Examination fee</td> <td>\$200.00</td> <td>\$</td> <td>200.00</td> <td></td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td>c) Search fee</td> <td>\$500.00</td> <td>\$</td> <td>500.00</td> <td></td> </tr> <tr> <td colspan="3">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$</td> <td>1,000.00</td> <td></td> </tr> </table>						<input checked="" type="checkbox"/>	a) Basic national fee	\$300.00	\$	300.00		<input checked="" type="checkbox"/>	b) Examination fee	\$200.00	\$	200.00		<input checked="" type="checkbox"/>	c) Search fee	\$500.00	\$	500.00		TOTAL OF ABOVE CALCULATIONS =			\$	1,000.00							
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TOTAL OF ABOVE CALCULATIONS =			\$	1,000.00																															
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.																																			
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE																																
62 - 100 =	/50 =		x \$250.00																																
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).																																			
<table border="1"> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> <td colspan="2"></td> </tr> <tr> <td>Total claims</td> <td>28 - 20 =</td> <td>8</td> <td>x 50.00</td> <td>\$</td> <td>400.00</td> </tr> <tr> <td>Independent claims</td> <td>3 - 3 =</td> <td></td> <td>x</td> <td>\$</td> <td></td> </tr> <tr> <td>MULTIPLE DEPENDENT CLAIM(s) (if applicable)</td> <td></td> <td></td> <td>+</td> <td>\$</td> <td></td> </tr> <tr> <td colspan="3">TOTAL OF ABOVE CALCULATIONS =</td> <td>\$</td> <td colspan="2">1,400.00</td> </tr> </table>						CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			Total claims	28 - 20 =	8	x 50.00	\$	400.00	Independent claims	3 - 3 =		x	\$		MULTIPLE DEPENDENT CLAIM(s) (if applicable)			+	\$		TOTAL OF ABOVE CALCULATIONS =			\$	1,400.00	
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TOTAL OF ABOVE CALCULATIONS =			\$	1,400.00																															
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.																																			
SUBTOTAL = \$ 1,400.00																																			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). <table border="1" style="float: right;"> <tr> <td>+</td> <td>\$</td> </tr> </table>						+	\$																												
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Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property <table border="1" style="float: right;"> <tr> <td>+</td> <td>\$</td> </tr> </table> 40.00						+	\$																												
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<table border="1" style="width: 100%;"> <tr> <td style="width: 50%;">Amount to be refunded:</td> <td style="width: 50%;">\$</td> </tr> <tr> <td>Amount to be charged:</td> <td>\$</td> </tr> </table>						Amount to be refunded:	\$	Amount to be charged:	\$																										
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a. <input checked="" type="checkbox"/> A check in the amount of \$ 1,440.00 to cover the above fees is enclosed.																																			
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.																																			
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 04-0100. A duplicate copy of this sheet is enclosed.																																			
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																																			
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.																																			
SEND ALL CORRESPONDENCE TO: Joseph R. Robinson DARBY & DARBY P.C. P.O. Box 5257 New York, New York 10150-5257 (212) 527-7783 CUSTOMER NUMBER: 07278																																			
SIGNATURE:  Chris T. Mizumoto NAME: _____ 42,899 REGISTRATION NUMBER: _____																																			

10/519629
DT15 Rec'd PCT/PTO 23 DEC 2004

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 09857/0202242-US0

Certificate of Express Mailing Under 37 CFR 1.10

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Alexandria, VA 22313-1450

on December 23, 2004
Date

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Typed or printed name of person signing Certificate

Registration Number, if applicable

Telephone Number

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Transmittal Letter to the United States Designated-Elected Office (2 pp)
Application Data Sheet (4 pp)
Executed Declaration and Power of Attorney (4 pp)
Recordation Form Cover Sheet (1 pg) w/Assignment (3 pp)
Translation of International Application PCT/JP03/08166 (55 pp); Claims (6 pp); Abstract (1 pg); Clean Copy of Substitute Specification (57 pp); Claims (7 pp); Abstract (1 pg); Markup copy of Substitute Specification (58 pp); Abstract (1 pg); First Preliminary Amendment (13 pp); Affirmation of priority claim (1 pg) w/PCT/IB/304; Copy of PCT Request (5 pp); Copy of WO 2004/002996 A1 (2 pp)
Information Disclosure Statement (2 pp); PTO/SB/08A (1 pg); ISR with 10 documents
Check in the amount of \$1,440.00 ck# 7128
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